

COMPLIANCE & INVESTIGATIONS PRACTICE GROUP

DFDL ACROSS ASIA AND BEYOND

SIGNIFICANT EXPERIENCES

DFDL's Compliance & Investigations team is comprised of a unique combination of professionals dedicated to providing compliance, risk, governance and special investigations across the region. We offer the full range of anti-bribery and anti-corruption advisory services including regional, FCPA, and UK Bribery compliance support to compliance risk assessments and policy development. Our team additionally provides system assessments, documentation review and drafting, institutional compliance culture training from the management board to the grassroots level, sensitive internal investigations, and systemic remediation guidance. We have on-the-ground expertise throughout the ASEAN region and are acutely aware of the practical, legal, and compliance environments of the markets in which we operate.

Our Compliance & Investigations experts have a broad range of expertise and a stellar reputation for providing global support across the South and South-East Asian regions.



- Provide input for the Annual Bribery Watch Update compiled by a large UK law firm.
- Review of anti-bribery and corruption guidelines for global payment services company.
- Lao gifts and hospitality guidelines advice for a globally recognized anti-bribery business organization.
- Assist with on-the-ground, face-to-face inquiries and investigation meetings for a large multinational company's internal investigation of compliance with FCPA, UKBA and local anti-bribery laws.
- Prepare interrogation summary reports related to an investigation of practices of a multinational's Bangladesh operations.
- Anti-Corruption Commission criminal investigation defense support related to an large infrastructure project.
- Provide training on corruption, bribery and money laundering-related issues for an international textiles company operating in South Asia.
- Advise and assisted 'Big-four' accounting firm in undertaking certain fact-finding exercises in relation to alleged irregularities by certain employees in relation to land acquisitions, registration and related activities, obtaining certain licenses and permits, and more.
- Advise our client on various corruption-related issues and criminal investigations by an Anti-Corruption Commission against a number of employees working on a major infrastructure project.
- Advise an international engineering and development consultancy on various allegations of gross financial malpractice, financial mismatching, negligence, manipulation of official records, and other similar issues by local employees.
- Assist in two major mandates for investigation into compliance issues of employees for multi-national corporations in the Fast-moving consumer goods distribution industry in relation to their business operations in Vietnam.
- Support for FCPA compliance independent monitoring review and anti-bribery adequate procedures review and customization for Thailand operations of an international pharmaceutical company.

KEY FACTS

KEY SERVICES

KEY PRACTICE AREAS

TEAM

- Global Compliance, Risk, and Investigations Expertise
- Compliance Systems Review and Assessment
- Training System Structuring and Testing Advisory
- Sensitive Investigations
- Crisis Management and Advisory

- Banking, Finance and Technology
- Corporate, M&A
- Compliance and Investigations
- Employment and Labour
- Energy, Mining and Infrastructure
- Real Estate and Construction
- Restructuring and Insolvency
- Taxation and Accounting



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