



4<sup>th</sup> Asia-Pacific Summit on

# ANTI-CORRUPTION

## Compliance and Risk Management

Country-Specific Strategies to Ensure Compliance and Minimize  
the Risk of Complex and Costly Government Investigations

Main Conference: October 27 & 28, 2015 • Interactive Working Groups: October 29, 2015  
Pan Pacific Orchard Hotel, Singapore

### Benchmark Your Internal Controls and Risk Management Strategies with Corporate Executives from:

BlackStone (Hong Kong)	Dell (Singapore)
Google (Singapore)	United Technologies
Sony Mobile	Building and Industrial
Communications	Systems (Singapore)
(Singapore)	Nova Pharmaceuticals
Siemens (Singapore)	(Singapore)
Zimmer (Singapore)	Western Digital
Maxpower Group	(Singapore)
(Indonesia)	Tata Capital Limited (India)
Fujitsu Asia Pte Ltd.	Tata Motors (India)
(Singapore)	Agilent Technology (China)
Shell Eastern Petroleum	General Electric (China)
(Singapore)	Covidien (Singapore)
Statoil (Indonesia)	Honeywell (Singapore)
BHP Billiton (Singapore)	Vale International
Motorola Solutions	(Singapore)
(Singapore)	Pharsight (Philippines)
Diageo (Singapore)	Honeywell (Singapore)
Scotiabank Global Banking	IBM (China)
and Markets (Singapore)	Juniper Networks
GE Aviation (Singapore)	(Singapore)
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### Get country-specific updates from local counsel on the corruption landscape and greatest bribery risks in:

- |             |               |
|-------------|---------------|
| ✓ China     | ✓ Thailand    |
| ✓ Indonesia | ✓ Malaysia    |
| ✓ India     | ✓ Vietnam     |
| ✓ Myanmar   | ✓ Philippines |

### The updated 2015 agenda will include the latest insights on

- From Risk Assessment to Compliance Programme: How to Translate In-Country Risk Assessments in High Risk Markets to Effective Policies and Procedures
- Focus on **Mid-Level Management Risks**: How to Make Your Mid-Level Managers Trustworthy and Set Up Robust Controls
- **Focus on China Risks**: What Can be Learned from Recent Police, PSB, AIC and PR Anti-Corruption Investigations in China and What is the New Standard for China Compliance Programs
- **Joint Venture** Case Studies: How to Address the Most Complex Challenges in Indonesia and Thailand
- **SEC Enforcement** and Books & Records Expectations Post-BHP: What Are Effective Internal Accounting Controls to Satisfy US Authorities
- Focus on **Third Party Risks**: How to Conduct Effective Due Diligence When Data is Unavailable or Unreliable
- How to use HR as a Gate Keeper: Best Practices for Recruiting, On Boarding and Continuous Monitoring of Employees

### Benefit from interactive working groups – October 29, 2015

**A** A Practical Guide on Complex Whistleblower Issues in Asia:  
How to Facilitate Reporting and Open Communication and  
the Essential Steps when a Report is Filed

**B** How to Build a Relationship Without Committing a Crime:  
Inside Complex Sponsorship, Charity and Political Contributions,  
Donations, Gift and Entertainment Scenarios

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Fast evolving compliance regimes have impacted global businesses operating in Asia. Multinational companies ranging from GSK, Petrobras to JP Morgan are facing complex and costly Government investigations and possible multi-million dollar fines due to allegations of corruption. Corruption remains a serious issue in Asia, and anti-corruption enforcement will continue to increase going forward, not only from USDOJ, the SEC and UK's SFO, but also from local government agencies. At the same time, new anti-bribery laws and enforcement tools have spread across the globe promising to raise the stakes for multinational corporations. As the global anti-corruption movement continues to evolve, a generic, one-size-fits-all compliance programme will no longer be adequate to address risks specific to the countries in this region.

Join your peers for what is regarded as the Premier Anti-Corruption Compliance Event in Asia and benefit from unparalleled benchmarking opportunities. Now in its 4<sup>th</sup> successful year, **ACI's annual Asia-Pacific Summit on Anti-Corruption Compliance and Risk Management** will provide you with advanced and real-world practical insights on innovations on compliance, the best mitigation strategies and updates on the fast evolving anti-corruption landscape in the region, with in-depth discussions of China, Indonesia, India, Thailand, Myanmar, Malaysia and Vietnam.

This two-day forum, designed for decision-makers in the anti-corruption, compliance and internal risk control management fields, provides attendees with the ideal opportunities for benchmarking, sharing experiences of cutting-edge risk mitigation strategies and compliance programs, and exploring the challenges of doing business in this tightening Asia-Pacific enforcement environment.

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Course objective: Instruction on the FCPA and anti-corruption compliance with regards to inappropriate payments. Recommended CPE Credit: 3.5 hours.

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## Day 1: Tuesday, October 27, 2015

### 8:30 Conference Co-Chairs Opening Remarks

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**Campbell Clark**

General Counsel, Asia  
Covidien, A Medtronic Company (Singapore)

**Kiat Seng Lee**

Senior Compliance Officer, ASIA  
Fujitsu Asia Pte Ltd. (Singapore)

### 8:45 Inside Recent High Profile Anti-Bribery Investigations Involving Asia: Where Companies and Executives Are Most Vulnerable

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**Satnam Tumani**

Partner  
Kirkland & Ellis International LLP (United Kingdom)  
*Former Head of the Bribery & Corruption & International Assistance Divisions, Serious Fraud Office*

**Ryan J. Rohlfson**

Partner  
Ropes & Gray LLP  
*Former Senior Trial Attorney, FCPA Enforcement Unit, Criminal Division - Fraud Section Department of Justice (United States)*

- Review of key FCPA and UKBA cases from past few months and how enforcement priorities are evolving between the SFO, US DOJ and SEC
- USDOJ, SEC and SFO interface with foreign governments and the evolution of multi-jurisdictional investigations
- Increased enforcement of the books and records provision by the SEC
- What is expected from your company if your industry is under review
- How compliance efforts and voluntary disclosures are rewarded
- Impact of the November 2012 FCPA Guidance on how cases are investigated

### 9:30 From Risk Assessment to Compliance Programme: How to Translate In-Country Risk Assessments in High Risk Markets to Successful Policies and Procedures

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**Li Chian See**

Senior Vice President  
BlackStone (Hong Kong)

**Steven Howard**

Director, General Counsel APAC, Legal & Compliance  
Sony Mobile Communications (Singapore)

**Anne-Sophie Perret**

Financial Crime Risk Mitigation Programme Workstream Lead,  
Governance, Risk Based Approach and Assurance  
Standard Chartered Bank (UAE)

- What an effective compliance programme should look like for high risk Asia markets
- How the culture on the ground affects policies, controls and procedures
- How to communicate with employees so they accept the compliance programme and anti-bribery concept
- Best practice to monitor performance in each local market
- Contrasting compliance structures: pros and cons of centralization and decentralization, how to allocate limited resources wisely
- Innovative pilot programs customized to Asia risks and new technology for anti-corruption compliance

### 10:15 Coffee and Tea Break

### 10:30 Focus on Mid-Level Management Risks: How to Make Your Mid-Level Managers Trustworthy and Set Up Robust Controls

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**Mark Gough**

Regional Head – Case Handling Asia Australia  
Siemens (Singapore)

**Benjamin Kwak**

Regional Director  
Legal & Compliance (Asia Pacific)  
Zimmer Pte Ltd (Singapore)

**Pamela Kiesselbach**

Senior Consultant  
Herbert Smith Freehills LLP (Singapore)

- Identifying all mid-level managers who have the signature authority
- How to make sure middle managers are complying with the compliance programme as well as upper management requirements
- What are the most risky areas you need to look for
- What is the acknowledged level of wrongdoing in sales and marketing: Focus on main compliance challenges
- How to detect and monitor middle management bribery activities
- Introducing tools and training method for mid-level management

### 11:15 Focus on China Risks: What Can be Learned from Recent Crackdown on Multinationals and What is the New Norm for Compliance Programmes in China

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**Charlene Zhu**

Chief Compliance Officer  
General Electric (China)

**Jun Li**

Senior Counsel and Trust & Compliance Officer  
Greater China Group, GMU Business Partner Program  
IBM (China)

**Harry Liu**

Partner  
King & Wood Mallesons (China)

- Practical review of recent high profile China bribery cases: Key highlights and risk areas for companies and their executives
- The role of Police, AIC, PSB and NDRC, industry regulators – drawing the lines based on allegations and consequences
- Investigative procedures used by different Chinese authorities and what they are looking for during the investigation
- Current industry sectors and bribery schemes that have been targeted by Chinese enforcement authorities
- How to communicate with and co-operate with Government officials during the investigation
- What kind of documents and evidence you should provide
- Contingency plans post investigation

### 12:15 Lunch for Attendees and Speakers

### 13:30 Joint Venture Risks Case Studies: How to Address the Most Complex Challenges in Indonesia and Thailand

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**Charles Ball**

Partner  
Reed Smith (Singapore)





**Guy Markham**  
General Counsel  
Maxpower Group (Indonesia)

**Douglas Mancill**  
Partner  
PriceSanond (Thailand)

Using hypotheticals, panel leaders will provide strategies on how to address the most complex challenges when operating in a minority or majority joint venture. After a review of each scenario and all the challenges involved, expert speakers will take the audience through a step by step approach to resolve each scenario. Hypothetical scenarios will include the below themes:

- How to deal with a foreign Government official as a business partner: How to make sure they comply with anti-corruption regulations
- Ownership and responsibility concerns: How responsibilities differ in majority and minority owned JVs
- What determines “control” in a JV and what is the impact for legal liability

#### 14:15 **Responding to Frequent Facilitating Payment Requests in Asia: How to Minimize Risks When Seeking Government Licenses and Approvals**

**Kiat Seng Lee**  
Senior Compliance Officer, ASIA  
Fujitsu Asia Pte Ltd. (Singapore)

**Paul R. Frontczak Jr.**  
Senior Legal Counsel  
Anti-Bribery & Corruption and Anti-trust  
Shell Eastern Petroleum (Singapore)

**Daniel Levison**  
Partner  
Morrison & Foerster LLP (Singapore)

- What are facilitation payments, what are the standards across FCPA, UK Bribery Act, and various local jurisdictions? How latest enforcement cases address specific facilitation payment risks
- Understanding how to work with local officials when obtaining regulatory approvals
- Obtaining invoices and supporting documentation from the licensing agency to prevent lapses that might lead to an FCPA, UKBA, or local bribery violation
- When does solicitation amount to extortion, and when can the defense of duress work?
- Some practical tips on how to prevent and respond to requests for bribes and facilitation payments in various Asian countries
- What role, if any, should third party agents or “influencers” pay in obtaining approval
- Some mitigating steps when engaging and monitor your third parties to deal with approval processes for permits and/or license
- Training employees on company protocol for securing licenses and permits from the government

#### 15:00 **Focus on Indonesia: Current Corruption and Enforcement Landscape and Where Multinationals Are Most at Risk**

**Erry Riyana Hardjapamekas**  
*Former KPK Board Chairman*  
Independent Commissioner/Non-Executive Director of MRT Jakarta TIV (Danone Indonesia), Hero Supermarket, Trakindo Utama Pasifik Satelit Nusantara, and Weda Bay Nickel (Indonesia)

**Chandra Triayu**  
Legal Counsel  
Statoil Indonesia (Indonesia)

**Ahmad Maulana**  
Partner  
Assegaf Hamzah & Partners (Indonesia)

- Highlighting recent KPK, Attorney General office/police, Indonesian Financial Transaction Reports & Analysis Centre, Financial & Development Supervisory Agency, and Audit Board enforcement activities
- What industries are being reviewed
- What various government agencies look for during the investigation
- KPK Power: What access right they have to discover wrongdoing including bank, private, online information
- How to report bribe to government agencies: Hotline, mobile applications
- How government enforcement impact to corporate compliance and what areas you should look for
- How to make compliance programme consistent

#### 15:45 **Refreshment Break**

#### 16:00 **Third Party Risks – How to Conduct Effective Due Diligence When the Data is Unavailable or Reliable: How Far you Have to Go and When is Enough**

**Duc Trang**  
Vice President, Legal, Government Affairs & Corporate Communications  
Lead Counsel, Asia-Pacific & Middle East  
Global Lead Counsel, Solutions & Services  
Motorola Solutions, Inc. (Singapore)

**Emma Worthington**  
Global Risk and Compliance Director, APAC  
Diageo (Singapore)

**Scott Weber**  
Associate General Counsel  
Senior Director of Legal Affairs, APAC  
Juniper Networks (Singapore)

- How to select a third party due diligence provider to help you conduct local due diligence
- How to comply with State Secret and data protection regulations and get enough information
- What areas are in high risk we should be the DD focus for Asia suppliers: Kickback, gift and entertainment, government connections
- What is the process to audit and detect the hidden risks
- How deep should you go when conducting sub-distributor’s due diligence, how to communicate with sub-distributor to comply with your programme
- How to deal with the situation if third party consultant providers are ex-government officials or related to a government official

#### 16:45 **How to Prevent Sponsorship and Marketing Pitfalls Post FIFA**

**Bethany Hipp**  
Global Compliance Attorney,  
Anti-Corruption, Trade  
BHP Billiton (Singapore)

**Natalie Arnold**  
Regional Compliance Counsel, APAC  
Google (Singapore)

**Tim Jackson**  
Regional Compliance Manager (Asia, Pacific & Middle East)  
Vale International SA (Singapore)

- How to make the brand successfully known by people without bribing event organizer or personnel



- How to define government bribery as FIFA is a non-profit organization- new criteria of the government official
- How to prevent bribery risks when you sponsor an event
- Checklist to follow to identify the risks during the sponsorship
- What are official amounts/ranges of sponsorship costs, how much is too high
- How to make your marketing and brand manager aware of bribery issues in the sponsorship negotiation
- Where to report if you discover bribery, will that prevent you to become a sponsor

17:30 **Conference Adjourns to Day 2**

## Day 2: Wednesday, October 28, 2015

8:30 **Co-Chairs Opening Remarks**

8:35 **Preparing for Increased SEC Enforcement and Expectations post BHP – What Are Effective Internal Accounting Controls**

### **Stanley T. Park**

Managing Director/Head of APAC Legal  
The Bank of Nova Scotia (Singapore)

### **Nathan Bush**

Partner  
O'Melveny & Myers LLP (Singapore)

- Developing a comprehensive FCPA module for internal audit that meets SEC expectations
- How the SEC's interpretation of the internal controls provision has evolved in recent years
- Defining "in reasonable detail", "reasonable assurance"
- How do effective internal controls address risk, types of products, geographical considerations, and the type of workforce
- Preventative vs. detective controls
- Sarbanes – Oxley reviews
- Managing in-country visits
- Leveraging your FCPA internal audit resources to detect vulnerabilities
- Testing key risk areas within your business, including
  - Accounting and finance
  - Bank accounts
  - Petty cash fund
  - Vendor procedures
  - Commission payments
  - Employee expense report

9:30 **M&A Pitfalls Case Studies – Where Acquirers Go Wrong on Due Diligence and Integration: The Top 10 Mistakes and How to Avoid Them**

### **Carolyn Cherrett**

Asia Compliance Leader  
GE Aviation (Singapore)

### **Anusuya Yogarajah**

Chief Compliance Officer, Asia Pacific  
DaVita Inc (Singapore)

### **Samuel Sharpe**

Director  
Duane Morris & Selvam LLP (Singapore)

- Due diligence missteps that can result in unidentified risk
- Successor liability post DOJ OPR – How to negotiate due diligence parameters and set contingency plans early

- Conducting effective pre-acquisition due diligence to identify business and regional risks: what to do if acquisition is flagged "high risk"
- Developing and implementing a strong post-acquisition plan
- How to close the transaction with some agreements to prevent the potential risks
- How to create culture to let your acquired company buy in your compliance on the ground
- How to communicate with acquired company top management and correct the perception
- What is the effective training for the acquired company employee, online vs. presentation, and how to measure its effectiveness
- How to effectively monitor the compliance process
- What you can do first 100 days after you acquire a company and go beyond 100 days what you should do
- How to use contract to synergize the compliance issues
- How to convince JV partner when you are the minority shareholder
- When to voluntarily disclose a target's wrongdoing and how to address the issue internally

10:15 **Coffee and Tea Break**

10:30 **Inside an Effective Internal Investigation: How to Scope an Action Plan**

### **Iris Sung**

Regional Compliance Counsel  
Greater Asia Region  
Intel Semiconductor (US) LLC (Hong Kong)

### **Kelly Austin**

Partner  
Gibson Dunn & Crutcher LLP (Hong Kong)

- What the investigative process should look like: Assessing the potential risk and defining the scope appropriately
- How to leverage technology
- Coordinating the investing between the company, outside counsel, and accounting firms
- Steps to take to ensure that attorney-client privilege is protected
- How the paradigm for internal investigations is changing
  - Timing for completing
  - Maintaining or waiving privileges
  - Admonition to witnesses
  - Audit committee involvement
  - Recommending remediation and compliance enhancements
  - Testing whether problem is systemic
  - Dealing with inconclusive findings
- Deciding whether you have investing "enough": how to maximize credibility to the enforcement agencies
- What to do when a violation is discovered

11:15 **Focus on India: How to Design a Compliance Program Specific to Unique India Corruption Risks**

### **Rajinder Sharma**

Director & General Counsel – South Asia  
E.I. DuPont India Pvt (India)

### **Gaurav Ajmani**

Sr. Corporate Counsel  
Amazon (India)

### **Nagesh Pinge**

Chief Internal Auditor  
Tata Motors Limited (India)

### **William Vivian John**

Partner  
Luthra & Luthra Law Offices (India)





- Understanding unique personnel, people relationship and culture in India
- Case study on recent investigations involving India, where are the high risks and unique areas, and how to address the speed money
- Local law expectations
- Reaching out on a personal level to convince employees to comply with the anti-corruption compliance
- How to structure an effective reporting system in the company in the Indian landscape
- How to encourage people to speak up and report colleague or manager wrong doing
- Ensuring independent and no direct and in-direct impact to the employee during the allegation
- How to conduct due diligence to find more information
- How to train internal investigation skills to compliance manager

#### 12:15 Lunch for Attendees and Speakers

#### 13:30 Keynote from Interpol

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##### **James Anderson**

Acting Assistant Director

Anti-Corruption and Financial Crimes Sub directorate  
International Criminal Police Organisation (INTERPOL) (Singapore)

##### **Wayne Snell**

Assistant Director

Integrity in Sport Sub directorate  
International Criminal Police Organisation (INTERPOL) (Singapore)

#### 14:00 Case Studies in Third Party Audits: How to Exercise Audit Rights in China, India

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##### **Yuet Ming Tham**

Partner

Sidley Austin (Hong Kong)

##### **Lingling Yan**

Compliance Counsel

Agilent Technologies (China)

##### **S. Bhaskar**

Chief Internal Auditor

Tata Capital Limited (India)

##### **Sarabjeet Singh**

Partner

BMR Advisors (India)

- What is the process and frequency to check whether third parties comply with the programme
- How to correctly exercise your audit right
- What are checking points to look into
- How to make your third party fully co-operate with you
- How to deal with the “confidential” documents for the third party
- How to track each individual supplier personnel for each transaction
- What pitfalls you should pay attention to
- How to implement contracts to correct the wrong doing
- Ensure your training programme is effective and timely for third parties
- Handling third parties ownership changes situation

#### 14:45 Myanmar: How to Identify and Minimize Corruption Risks Amid New Investment Opportunities

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##### **James Finch**

Partner

DFDL Myanmar (Myanmar)

- Myanmar anti-corruption regulation framework and development: Scope of permitted and prohibited investment activities

- Practical challenges associated with the easing of sanctions
- What is business norm in Myanmar, how to control the risks under the local business and legal environments
- How to carry your compliance program to Myanmar
- How to get adequate and accurate information for due diligence
- Case study on gift and entertainment policy
- How to deal with government relationship without violating anti- bribery requirements

#### 15:15 Refreshment Break

#### 15:30 How to use HR as a Gate Keeper: Best Practices for Recruiting, On Boarding and Continuous Monitoring of Employees

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##### **Campbell Clark**

General Counsel, Asia

Covidien, A Medtronic Company (Singapore)

##### **Allison Despard**

General Counsel, Labor & Employment, APAC

Honeywell (Singapore)

##### **Maria Quindimil**

Executive Director

People Development, Business Practices  
Compliance and Risk management Division, APAC  
Pharsight (Philippines)

##### **Sarah Berkeley**

Partner

Simmons & Simmons (Singapore)

- Understanding HR's role in training and screening employees and potential hires
- How to align compliance performance factors with compensation structures
- What recent cases in Asia tell us about regulators priorities and expectations for employee screening
- How compensation structures can impact employee behavior
- How to conduct background check, employment history, reference
- What if someone is related to government official for employee and internship

#### 16:15 A Practical Review of Bribery Landscape in Malaysia and Vietnam, and How to Minimize Risks

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##### **Wilson Ang**

Partner

Norton Rose Fulbright (Singapore)

##### **Chuah Yean Ping**

Head – Corporate Compliance and Governance

Sime Darby Berhad (Malaysia)

##### **Diep Hoai Nam**

Partner

YKVN Law Limited Liability Company (Vietnam)

- Thailand military government anti-corruption initiative and current enforcement activity
- How to respond when government asks for sponsorship and charity fund in Thailand
- How to address the risks talking business on the golf course with government
- How to convince local employee to comply with the anti-corruption and report wrong doing
- How to apply for a license, obtain Government contracts without bribery in Malaysia
- How to deal with a third party related to government
- What is the trend and landscape for Vietnam, Malaysia and Cambodia, how anti-corruption regulation are still developing

#### 17:00 Co-Chairs Closing Remarks and Conference Ends





## Day 3: Thursday, October 29, 2015 • Interactive Workshops

9:00 – 12:30 (Registration Begins at 8:30)

13:30 – 17:00 (Registrations Begins at 13:00)

# A

### A Practical Guide on Complex Whistleblower Issues in Asia: How to Facilitate Reporting and Open Communication and the Essential steps when a Report is Filed

#### Wendy Wysong

Partner  
Clifford Chance (Hong Kong)

#### Christopher Alan Garcia

Executive Legal Director  
Asia Pacific & Japan, Compliance  
Corporate Law & Information Security  
Dell Inc. (Singapore)

#### Beng Ti Tan

Senior Counsel, Compliance  
Asia Region  
United Technologies Building and Industrial Systems  
(Singapore)

During this practical and interactive workshop session, attendees will gain a comprehensive overview of complex whistleblower risks in Asia, which make anti-corruption Asia practice more challenging. How to controls the risks and how to appropriately respond to allegations in fast-evolving anti-corruption environment and a very active plaintiff bar.

Attendees will have a unique opportunity to learn and discuss real-world examples on how other Asia companies handle whistleblower challenges, including:

- How to create an environment that facilitates open communications
- Practical tips for crafting compliance policies that will encourage your employee to come to you first
- Re-visiting hotlines, points for employee engagement and communications
- How to ask questions to your employees without exposing the fact that an issue could exist
- How to streamline the process when receiving a report from employees
- Creating prescribed procedures from different kinds of whistleblowers, plaintiff's counsel, and competitors
- Working effectively with HR and audit team in differ phases
- How to investigate and respond to whistleblower claims vs. "anonymous" reports
- Addressing and responding to claims or reports that make incorrect assumptions or include incorrect facts
- Individual countries new rules on incentivizing whistleblower
- Disclosure protocol to the board and audit committee
- How to protect a whistleblower and make them trust that you can protect them

# B

### How to Build a Relationship Without Committing a Crime: Inside Complex Sponsorship, Charity and Political Contributions, Donations, Gift and Entertainment Scenarios

#### Vincent Qiu

Regional Compliance Manager, Asia Pacific and Africa  
iNova Pharmaceuticals (Singapore) Pte Ltd –  
A Valeant Company (Singapore)

#### Dance Mona

Senior Director Ethics & Compliance, APAC  
Western Digital Corporation (Singapore)

This interactive and practical working session will go beyond high-level understanding of the FCPA, UK Bribery Act and various local anti-bribery regulations to identify concrete tools and tactics to build appropriate relationship with local government and avoid the loopholes presented by the cultural expectations of sponsorship, charity donations, gift giving, and entertainment.

Attendees will learn about various countries specific scenarios, and learn about how to decide, document, and review decisions relating to activities to build government relationships. Benchmark what other companies' standard and practice.

What are the new and complex scenarios appear to various countries in Asia recently

- What to do when government official asks for sponsorship and donation for events
- Managing the expectations of local government official entertainment what should be qualify as bribery, what is not
- How to control the risk to invite Government official to travel to visit the company facility and other events need to travel
- Gifts and meals: What are FCPA and UK Bribery Act requirements vs. local individual regulation; what is reasonable
- What if the third party is related to the government
- Travel agencies and advertising agency pitfalls and how they are susceptible to collusion
- Accessing the risk of third party vendors that handle your marketing and sponsorship activities: how to Handle training the on the importance of compliance
- Best practices for organizing conferences, travel arrangements, sponsorships, advisory boards, and entertainment
- Crafting permissible guidelines for the use and compensation of consultants and advisory boards
- Navigating the blurry line between providing free equipment for research in a way that will not be viewed as a payment
- Strategies for training and monitoring employees to avoid collusion with vendors – such as over-priced services with kick-backs to employees move to bottom of list



4<sup>th</sup> Asia-Pacific Summit on

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## Compliance and Risk Management

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